

# POLISHING APPARATUS

## BACKGROUND OF THE INVENTION

### Field of the Invention:

5           The present invention relates to a polishing apparatus, and more particularly to a polishing apparatus for polishing a substrate such as a semiconductor wafer to a flat mirror finish.

### Description of the Related Art:

10           Conventionally, a polishing apparatus for polishing a substrate such as a semiconductor wafer to a flat mirror finish comprises a turntable having a polishing pad or a grinding stone (fixed abrasive) thereon, and a top ring for holding the substrate. The substrate to be polished is placed  
15 between the polishing pad or the grinding stone on the turntable and the top ring. While the substrate is pressed against the polishing pad or the grinding stone under a certain pressure by the top ring, the turntable and the top ring are respectively rotated to cause a relative motion  
20 therebetween for thereby polishing the substrate.

          In the polishing apparatus with the polishing pad on the turntable, a polishing liquid is supplied to a polishing area of the polishing pad. On the other hand, in the polishing apparatus with the grinding stone (fixed  
25 abrasive) on the turntable, water is supplied to a polishing area of the grinding stone. A grinding stone impregnated with a lubricating liquid can dispense with the liquid supply from an external source. The substrate is polished to a flat

mirror finish in a polishing process performed by the polishing apparatus thus constructed. After the substrate is polished, the substrate is released from the top ring and delivered to a next process such as a cleaning process.

5           While the substrate is polished by the polishing apparatus, the substrate held by the top ring is pressed against a polishing surface of the polishing pad or the grinding stone. As a result, polishing performance of the polishing pad or the grinding stone may be deteriorated due to  
10 glazing of the polishing surface, or the polishing surface of the polishing pad or the grinding stone may have undulation beyond an allowable degree. In such cases, the polishing pad or the grinding stone is dressed to recover a desired polishing surface.

15           In this type of conventional polishing apparatus, it has heretofore been necessary to manage the timing of dressing of the polishing pad or the grinding stone based on the number of polished substrates or the polishing time, e.g., the time when the polishing pad or the grinding stone has been  
20 used to polish substrates. Further, in order to recognize how the polishing surface has changed, it is necessary to remove the polishing pad from the turntable, and then remove a soft layer of the polishing pad and measure configurational changes, e.g., changes in thickness of a hard layer of the  
25 polishing pad.

#### SUMMARY OF THE INVENTION

The present invention has been made in view of the

above drawbacks. It is therefore an object of the present invention to provide a polishing apparatus which can easily measure changes in condition of a polishing surface, can appropriately determine when to dress the polishing surface and to replace a component of the polishing surface, and can polish a surface of a substrate to a high-quality finish.

According to an aspect of the present invention, there is provided a polishing apparatus for polishing a workpiece, the polishing apparatus comprising: a polishing table having a polishing surface; a top ring for holding the workpiece and pressing the workpiece against the polishing surface; a dresser for dressing the polishing surface; and a sensor for observing a property of the polishing surface on the polishing table when the polishing surface is being dressed by the dresser. The polishing apparatus may further comprise a display device for displaying the property of the polishing surface observed by the sensor.

When the polishing surface is dressed by the dresser, a property of the polishing surface on the polishing table can be observed by the sensor. For example, the property of the polishing surface may be irregularity or undulation thereof. The observed property may be displayed by the display device. Therefore, the property of the polishing surface can easily be recognized.

The sensor may be mounted on a fixed member of the top ring or the dresser which is angularly movable. The sensor may comprise a displacement sensor.

In a preferred aspect of the present invention, the

sensor is mounted on one of the top ring and the dresser and is vertically movable independently of the top ring or the dresser.

5 Since the sensor is mounted on the top ring or the dresser and is vertically movable independently of the top ring or the dresser, the position of the sensor can easily be adjusted with respect to the polishing surface. It is thus easy to adjust the sensor to a position optimum for measuring the property of the polishing surface.

10 At least a portion of the sensor which is brought into contact with a polishing liquid or a dressing liquid may be made of a material having chemical resistance. Hence, the sensor is not corroded by the polishing liquid or the dressing liquid, and durability of the sensor can be improved.

15 In a preferred aspect of the present invention, the sensor measures a property of the polishing surface over an area larger than an area which is dressed by the dresser.

20 Since the sensor measures a property of the polishing surface over an area larger than an area which is dressed by the dresser, the property of the polishing surface can reliably be measured.

25 In a preferred aspect of the present invention, the polishing apparatus further comprises a determination device for comparing an initial property of the polishing surface which is measured by the sensor with a property of the polishing surface which is measured by the sensor after the polishing surface is dressed by the dresser, and determining when to replace a component of the polishing surface based on

the result of comparison.

Since the polishing apparatus comprises the determination device, the initial property of the polishing surface can be compared with the property of the polishing surface after the polishing surface is recovered by the dresser. Therefore, a component of the polishing surface can be replaced with a new one at an optimum time.

According to another aspect of the present invention, there is provided a method for polishing a workpiece, comprising: holding the workpiece and pressing the workpiece against a polishing surface on a polishing table to polish the workpiece; observing a property of the polishing surface on the polishing table by a sensor when the polishing surface is being dressed by a dresser; comparing an initial property of the polishing surface which is measured by the sensor with a property of the polishing surface which is measured by the sensor after the polishing surface is dressed by the dresser; and determining when to stop the dressing operation based on the result of comparison.

Since an initial property of the polishing surface is compared with a property of the polishing surface after the polishing surface is dressed by the dresser, it is easy to determine when to stop the dressing operation based on the result of comparison. Therefore, the dressing operation can be stopped at an optimum time.

The above and other objects, features, and advantages of the present invention will be apparent from the following description when taken in conjunction with the

accompanying drawings which illustrates preferred embodiments of the present invention by way of example.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5           FIG. 1 is a schematic view showing a configuration of a polishing apparatus according to an embodiment of the present invention;

          FIG. 2 is a schematic view showing a sensor used in the polishing apparatus for measuring a property of a  
10   polishing surface;

          FIG. 3 is a plan view showing the relationship between the polishing surface, a top ring, and a dressing tool of the polishing apparatus; and

          FIG. 4 is a schematic diagram showing a measured  
15   property of the polishing surface according to the polishing apparatus of the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

          A polishing apparatus according to an embodiment of  
20   the present invention will be described below with reference to FIGS. 1 through 3. FIG. 1 is a schematic view showing a configuration of a polishing apparatus according to an embodiment of the present invention. As shown in FIG. 1, the polishing apparatus comprises a polishing assembly 10, a  
25   measuring box 20, and a recorder 30.

*Sub*           The polishing assembly 10 comprises a top ring (not shown) for holding a substrate (workpiece) to be polished such as a semiconductor wafer, a turntable 12 constituting a

polishing table and having a polishing surface 12a thereon,  
and a dresser 11 for dressing the polishing surface. In the  
present embodiment, the polishing surface 12a is constituted  
by an upper surface of a polishing pad attached to the  
5 turntable 12. The substrate to be polished is placed between  
the top ring and the polishing surface 12a on the turntable  
12. While the substrate is pressed against the polishing  
surface 12a under a certain pressure by the top ring, the  
turntable 12 and the top ring are respectively rotated to  
10 polish the substrate. The polishing surface 12a is dressed by  
the dresser 11 at a suitable time to recover original  
polishing performance.

The measuring box 20 comprises a display device 21  
and a power supply 22 therein. The recorder 30 comprises a  
15 data collection system 31 and a personal computer 32. The  
polishing assembly 10 further comprises a sensor 13 for  
measuring a property, e.g., thickness, of the polishing  
surface 12a on the turntable 12, a dresser swing switch 14, a  
photomicrosensor 15, and an amplifier 16.

20 The sensor 13 outputs a signal representing the  
measured property of the polishing surface 12a through a wire  
L1 to the amplifier 16, and the supplied signal is amplified  
by the amplifier 16. The wire L1 should preferably be as  
short as possible. The amplified signal is then transmitted  
25 to the display device 21 in the measuring box 20 through a  
wire L2 having good noise immunity. The display device 21  
displays the property of the polishing surface 12a. The  
signal supplied to the display device 21 is further

transmitted to the data collection system 31 in the recorder 30 through a wire L3 having good noise immunity. The power supply 22 in the measuring box 20 supplies power to the display device 21 in the measuring box 20 and the amplifier 16 in the polishing assembly 10 through respective wires L4 and L5 having good noise immunity.

The dresser 11 comprises a dresser head 11a which is vertically movable and horizontally swingable by a swing arm (not shown). A dressing tool 11c for recovering the polishing surface 12a is rotatably mounted on the dresser head 11a via a rotatable shaft 11b.

The sensor 13 is mounted on the dresser head 11a and vertically movable independently of the dresser head 11a. The position of the sensor 13 is controlled based on a signal from the photomicrosensor 15 so that the sensor 13 does not interfere with the dresser head 11a and the polishing surface 12a.

As shown in FIG. 2, the sensor 13 is a contact sensor comprising a roller 13a that can be held in rolling contact with the polishing surface 12a. While the roller 13a is being rolled along the polishing surface 12a, the roller 13a is vertically moved depending on irregularities or undulation of the polishing surface 12a. The sensor 13 has a detector (not shown) which detects the vertical movement of the roller 13a and converts the vertical movement into an electrical signal. Specifically, the sensor 13 is a kind of displacement sensor. The detector of the sensor 13 serves to measure the relative thickness of the polishing surface 12a.



The roller 13a that can be brought into rolling contact with the polishing surface 12a is made of ceramics material having chemical resistance. Therefore, a substrate to be polished such as a semiconductor wafer can be prevented from being  
5 contaminated by metals or the like. The sensor 13 is mounted on the dresser head 11a via an attachment 17 so as to be vertically movable independently of the dresser head 11a.

*Insert*  
10 Since the contact sensor 13 thus constructed is vertically moved in accordance with irregularities or undulation of the polishing surface 12a, the contact sensor 13 has a sliding contact surface between a fixed member and a movable member thereof. In the present embodiment, the sliding contact surface is covered with resin having chemical resistance. Therefore, the sensor 13 can be prevented from  
15 being contaminated by materials from external sources, and simultaneously contaminating external parts or surrounding atmosphere.

As described above, the sensor 13 measures a property of the polishing surface 12a while the polishing  
20 surface 12a is being dressed. A signal representing the measured property of the polishing surface 12 is outputted from the sensor 13 to the amplifier 16 and then amplified by the amplifier 16. The amplified signal is transmitted to the display device 21 in the measuring box 20. The display device  
25 21 displays the property of the polishing surface 12a. The signal supplied to the display device 21 is inputted as measured data of the polishing surface 12a into the data collection system 31 in the recorder 30. The personal

computer 32 accesses the measured data in the data collection system 31 and utilizes the data for reviewing conditions of the following dressing.

5 The dresser swing switch 14 comprises an on-off switch for swinging a dresser arm coupled to the dresser head 11a in such a state that the sensor 13 is placed on the polishing surface 12a of the turntable 12, and thereby moving the sensor 13 on and along the polishing surface 12a. An ON signal of the dresser swing switch 14 is transmitted to the  
10 personal computer 32 via the data collection system 31 in the recorder 30. In response to the ON signal of the dresser swing switch 14, the personal computer 32 accesses the data collection system 31 to load the measured data representing the property of the polishing surface 12a.

15 FIG. 3 is a plan view showing the relationship between the polishing surface 12a, the top ring T/R, and the dressing tool 11c. In FIG. 3, the polishing surface 12a on the turntable 12 is dressed in an unhatched area B. The polishing surface 12a has hatched areas A and C which are not  
20 used for polishing and are not dressed. However, as shown in FIG. 4, the property of the polishing surface is monitored over a region of the polishing surface 12a including not only the dressing area B but also the areas A and C. This is because the polishing surface 12a of the areas A and C which  
25 are not actually dressed is used as a reference surface to measure the absolute amount of material of the polishing surface 12a that has been worn off by actual polishing and dressing.

As described above, the property of the polishing surface 12a can be monitored as shown in FIG. 4, while the polishing surface 12a is being dressed. Therefore, the two-dimensional distribution of the absolute amount of material of the polishing surface 12a which has been worn off can be related to polishing conditions or dressing conditions. Accordingly, the polishing conditions including top ring operating, and the dressing conditions can be optimized in a short time.

An actual process of measuring the polishing surface 12a will be described below. In this example, the sensor 13 is moved at a speed ranging from 10 to 200 mm/sec. The sensor 13 is mounted on the dresser head 11a, and the sensor 13 is moved along the polishing surface 12a on the turntable 12 in accordance with movement of the dresser head 11a. Thus, irregularities or undulation of the polishing surface 12a are converted into an electrical signal. The inventors have concluded from the viewpoint of experimental facilities that the speed of about 100 mm/sec of the sensor 13 is a maximum speed with allowable accuracy of the data.

The sensor 13 is moved along the polishing surface 12a at the above speed and measures the property of the polishing surface 12a. Irregularities or undulation of the polishing surface 12a are not measured at all points where the sensor 13 is moved. The measured signals from the sensor 13 are sampled every 4 milliseconds. For example, five sampling signals may be averaged to produce data representing a typical property of the polishing surface 12a in the vicinity of the

sampling points. Alternatively, each of sampling signals may directly be used to represent the property of the polishing surface 12a.

5 From the viewpoint of data processing, it is convenient to measure irregularities or undulation of the polishing surface 12a radially across the polishing surface 12a. However, in the present embodiment, since the sensor 13 is mounted on the dresser head 11a that is angularly movable about a center O of the dresser 11 (see FIG. 3), the sensor 13 is moved along a curved line Lc around the center O, as shown in FIG. 3, rather than along a simple straight line radially across the polishing surface 12a.

15 In FIG. 3, since the dressing tool 11c has a diameter smaller than the width of the dressing area B, the dressing tool 11c is angularly moved over the range of the dressing area B for dressing the polishing surface 12a of the dressing area B. However, when a dresser having a diameter that is equal to the width of the dressing area B is used, it is not necessary to angularly move the dressing tool 11c.

20 The personal computer 32 serves as a determination device for determining when to replace the polishing surface 12a. Specifically, the personal computer 32 compares an initially measured property of the polishing surface 12a with a measured property thereof after the polishing surface 12a is dressed several times, and determines when to replace the polishing pad of the polishing surface 12a based on the result of comparison. Thus, the personal computer 32 determines when to replace the polishing pad based on the measured data of the

polishing surface 12a which has been measured by the sensor 13 and collected by the data collection system 31. Accordingly, the polishing pad can be replaced with a new one at an optimum time.

5           The personal computer 32 may serve as a determination device for determining when to stop the dressing operation. As described above, the personal computer 32 compares the initially measured property of the polishing surface 12a with a measured property thereof after the  
10 polishing surface 12a is dressed several times. The personal computer 32 determines when to stop the dressing operation based on the result of comparison. Thus, the dressing operation can be stopped at an optimum time.

15           In the present embodiment, the polishing apparatus employs the polishing pad constituting a polishing surface 12a. The polishing pad may comprise a nonwoven fabric, or polyurethane foam, or the like. However, the principles of the present invention are also applicable to a polishing apparatus having a grinding stone or a fixed abrasive mounted  
20 on the turntable 12. The grinding stone (fixed abrasive) may comprise a disk of fine abrasive particles of, for example,  $\text{CeO}_2$ , having a particle size of several micrometers or less and bonded together by a binder of synthetic resin.

25           In the present embodiment, the sensor 13 is mounted on the dresser head 11a and is vertically movable independently of the dresser head 11a. However, for example, the sensor 13 may be mounted on the top ring head. The sensor 13 may be mounted in any desired position as long as the

sensor 13 does not interfere with the dressing operation of the dresser and the polishing operation of the top ring and can measure the property of the polishing surface 12a.

In the present embodiment, the turntable which  
5 rotates about its own axis is used as the polishing table. However, a table which makes a circulatory translational motion such as a scroll motion may be used as the polishing table.

Although certain preferred embodiments of the  
10 present invention have been shown and described in detail, it should be understood that various changes and modifications may be made therein without departing from the scope of the appended claims.

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